212/522

## Declaration for Utility Application

As a below named inventor, I hereby declare that:

| My residence, post office addr                                                                                                       | ess and citiz                                                             | enship are as stated be                                                                                | elow next to my name                                                                           | •                                        |                                                                                                                                                                                |  |
|--------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| I believe I am the original, fit<br>plural names are listed below)<br>Method and Apparatus For Ra                                    | of the subject                                                            | ct matter which is clai                                                                                | imed and for which a                                                                           | patent is so                             | ginal first and joint inventor (if bught on the invention entitled of which is attached hereto                                                                                 |  |
| was filed on September                                                                                                               | r 30, 2003                                                                | as Application No. 1                                                                                   | 10/676,562 an                                                                                  | d 🗌 was                                  | amended on                                                                                                                                                                     |  |
| amended by any amendmen<br>patentability of this application<br>priority benefits under Title 3                                      | t(s) referred<br>on in accord<br>5, United Sontified below                | to above. I acknowl<br>ance with Title 37, C<br>tate's Code, Sec. 119<br>w any foreign applica         | ledge the duty to disc<br>code of Federal Regul<br>of any foreign appli                        | close infor<br>ations Sec<br>cation(s) f | fication, including the claims, as material to the material to the c. 1.56(a). I hereby claim foreign for patent or inventor's certificate ificate having a filing date before |  |
| Application Number                                                                                                                   |                                                                           | Country                                                                                                | Filing Date                                                                                    | 2                                        | Priority claimed                                                                                                                                                               |  |
|                                                                                                                                      | 1                                                                         | ·                                                                                                      |                                                                                                | •                                        |                                                                                                                                                                                |  |
|                                                                                                                                      | <del> </del>                                                              |                                                                                                        |                                                                                                |                                          |                                                                                                                                                                                |  |
| insofar as the subject matter of<br>manner provided by the first<br>information as defined in Tit<br>application and the national or | f each of the<br>paragraph o<br>le 37, Code<br>PCT interna                | e claims of this applic<br>f Title 35, United Sta<br>of Federal Regulatio<br>ational filing date of th | ation is not disclosed<br>ates Code, Sec. 112, I<br>ons, 1.56(a) which och<br>his application. | in the prio<br>acknowle                  | application(s) listed below and<br>r United States' application in the<br>dge the duty to disclose materia<br>tween the filing date of the prior                               |  |
| Application Numb                                                                                                                     | er                                                                        | Filing Date                                                                                            |                                                                                                | Status                                   |                                                                                                                                                                                |  |
| 60/415,188                                                                                                                           |                                                                           | September 30, 2002                                                                                     |                                                                                                | Provisional                              |                                                                                                                                                                                |  |
| 60/477,110                                                                                                                           |                                                                           | June 9, 2003                                                                                           |                                                                                                | Provisional                              |                                                                                                                                                                                |  |
| First Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                     | Radnor, PA                                                                | Buckman, M.D.<br>N<br>Lane, Radnor, PA 190                                                             | Citizen<br>087-5207                                                                            | ship US                                  |                                                                                                                                                                                |  |
| Second Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                    | Jay A. Lenker, Ph.D.<br>Laguna Beach, CA<br>408 Panorama Drive, Laguna Be |                                                                                                        | Citizen<br>ach, CA 92651                                                                       | ship US                                  | 3                                                                                                                                                                              |  |
| Third Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                     | Laguna Nig                                                                | Kolehmainen<br>guel, CA<br>Butte Circle, Laguna N                                                      | Citizen<br>liguel, CA 92677                                                                    | ship US                                  | 3                                                                                                                                                                              |  |
| Fourth Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                    |                                                                           |                                                                                                        | Citizenship                                                                                    |                                          |                                                                                                                                                                                |  |
| belief are believed to be true;                                                                                                      | and further to<br>le by fine or                                           | hat these statements wimprisonment, or both                                                            | vere made with the kn<br>h, under Section 1001                                                 | owledge th<br>of Title 18                | ments made on information and lat willful false statements and of the United States Code, and ssuing thereon.                                                                  |  |
| Date: January 20, 2004                                                                                                               |                                                                           | First Inventor                                                                                         | Robert F. Buckma                                                                               | n, M.D.                                  |                                                                                                                                                                                |  |
| Date: January 20, 2004                                                                                                               |                                                                           | Second Inventor                                                                                        | Jay A. Lenker, Ph                                                                              | .D.                                      |                                                                                                                                                                                |  |
| Date: January 20, 2004                                                                                                               | <del></del>                                                               | Third Inventor                                                                                         | Donald L Wald                                                                                  |                                          |                                                                                                                                                                                |  |
| Date:                                                                                                                                |                                                                           | Courth Inventor                                                                                        | Donald J. Kolehm                                                                               | ainen                                    |                                                                                                                                                                                |  |

212/522

#### Declaration for Utility Application

| As a below named inventor, i                                                                                                       | nereby decia                                                                   | ire mat:                                                                                           |                                                                                               |                                           |                                                                                                                                                                          |  |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| My residence, post office add                                                                                                      | ress and citiz                                                                 | enship are as stated be                                                                            | elow next to my name                                                                          | •                                         |                                                                                                                                                                          |  |
| I believe I am the original, fi<br>plural names are listed below,<br>Method and Apparatus For R                                    | of the subje                                                                   | ct matter which is clai                                                                            | med and for which a                                                                           | patent is so                              | ginal first and joint inventor (if<br>ought on the invention entitled<br>of which is attached hereto                                                                     |  |
| was filed on September                                                                                                             | er 30, 2003                                                                    | as Application No. 1                                                                               | 0/676,562 ar                                                                                  | ıd 🗌 was                                  | amended on                                                                                                                                                               |  |
| amended by any amendmen<br>patentability of this applicati<br>priority benefits under Title                                        | t(s) referred<br>on in accord<br>35, United Sentified below                    | to above. I acknowl<br>ance with Title 37, C<br>tate's Code, Sec. 119<br>w any foreign applica     | edge the duty to disc<br>ode of Federal Regul<br>of any foreign appli                         | close infor<br>lations Sec<br>cation(s) f | fication, including the claims, a mation which is material to the 1.56(a). I hereby claim foreign for patent or inventor's certificat ificate having a filing date befor |  |
| Application Number                                                                                                                 |                                                                                | Country                                                                                            | Filing Date                                                                                   |                                           | Priority claimed                                                                                                                                                         |  |
|                                                                                                                                    |                                                                                |                                                                                                    |                                                                                               |                                           |                                                                                                                                                                          |  |
| - <del></del>                                                                                                                      |                                                                                |                                                                                                    |                                                                                               |                                           |                                                                                                                                                                          |  |
| insofar as the subject matter of<br>manner provided by the first<br>information as defined in Ti<br>application and the national o | of each of the<br>paragraph o<br>tle 37, Code<br>r PCT interna                 | e claims of this applice<br>f Title 35, United State<br>of Federal Regulational filing date of the | ation is not disclosed<br>ates Code, Sec. 112, I<br>ons, 1.56(a) which oc<br>his application. | in the prio<br>acknowle                   | application(s) listed below and<br>r United States' application in the<br>dge the duty to disclose materia<br>tween the filing date of the price                         |  |
| Application Numb                                                                                                                   | er                                                                             | Filing                                                                                             | g Date                                                                                        |                                           | Status                                                                                                                                                                   |  |
| 60/415,188                                                                                                                         |                                                                                | September 30, 2002                                                                                 |                                                                                               | Provisional                               |                                                                                                                                                                          |  |
| 60/477,110                                                                                                                         |                                                                                | June 9, 2003                                                                                       |                                                                                               | Provisional                               |                                                                                                                                                                          |  |
| First Inventor: Full Name:<br>Residence:<br>Post Office Address:<br>Second Inventor: Full Name:<br>Residence:                      | Radnor, PA                                                                     | Lane, Radnor, PA 190<br>ker, Ph.D.                                                                 | Citizen<br>187-5207<br>Citizen                                                                | ·                                         |                                                                                                                                                                          |  |
| Post Office Address:                                                                                                               | 408 Panora                                                                     | ma Drive, Laguna Be                                                                                | ach, CA 92651                                                                                 |                                           |                                                                                                                                                                          |  |
| Third Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                   | Donald J. Kolehmainen<br>Laguna Niguel, CA<br>6 Crested Butte Circle, Laguna N |                                                                                                    | Citizen<br>liguel, CA 92677                                                                   | ship US                                   | ;                                                                                                                                                                        |  |
| Fourth Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                  |                                                                                | :                                                                                                  | Citizenship                                                                                   |                                           |                                                                                                                                                                          |  |
| belief are believed to be true;                                                                                                    | and further t<br>le by fine or                                                 | hat these statements wimprisonment, or both                                                        | vere made with the kn<br>n, under Section 1001                                                | owledge the<br>of Title 18                | ments made on information and at willful false statements and of the United States Code, and ssuing thereon.                                                             |  |
| Date: January 20, 2004                                                                                                             |                                                                                | First Inventor                                                                                     | Robert F. Buckma                                                                              | n, M.D.                                   |                                                                                                                                                                          |  |
| Date: January 20, 2004                                                                                                             |                                                                                | Second Inventor                                                                                    | Jay A. Lenker, Ph                                                                             | nki<br>.D.                                | <del>)</del>                                                                                                                                                             |  |
| Date: January 20, 2004                                                                                                             | Third Inventor                                                                 |                                                                                                    | Donald J. Kolehmainen                                                                         |                                           |                                                                                                                                                                          |  |

Fourth Inventor



### Declaration for Utility Application

| As a below named inventor, I                                                                                                                                                                          | hereby decla                                                    | are that:                                                                                               |                                                                                               |                                          |                                                                                     |                                           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------|
| My residence, post office add                                                                                                                                                                         | ress and citiz                                                  | enship are as stated be                                                                                 | elow next to my name                                                                          |                                          |                                                                                     |                                           |
| I believe I am the original, fi<br>plural names are listed below)<br>Method and Apparatus For R                                                                                                       | of the subject                                                  | ct matter which is clai                                                                                 | med and for which a                                                                           | patent is so                             | ginal first and joint invought on the invention of the invention of which is attach | entitled                                  |
|                                                                                                                                                                                                       |                                                                 | as Application No. 1                                                                                    | 0/676,562 an                                                                                  | d 🔲 was                                  | amended on                                                                          |                                           |
| I hereby state that I have rev<br>amended by any amendmen<br>patentability of this applicati<br>priority benefits under Title :<br>listed below and have also ide<br>that of the application on which | t(s) referred<br>on in accord<br>35, United S<br>entified belov | to above. I acknowl<br>ance with Title 37, C<br>tate's Code, Sec. 119<br>w any foreign applica          | ledge the duty to disc<br>lode of Federal Regul<br>of any foreign appli                       | close infor<br>ations Sec<br>cation(s) f | rmation which is mate<br>c. 1.56(a). I hereby clai<br>for patent or inventor's      | erial to the<br>im foreign<br>certificate |
| Application Number                                                                                                                                                                                    | F                                                               | Country                                                                                                 | Filing Date                                                                                   |                                          | Priority claim                                                                      | med                                       |
|                                                                                                                                                                                                       |                                                                 |                                                                                                         |                                                                                               |                                          |                                                                                     |                                           |
|                                                                                                                                                                                                       |                                                                 | :                                                                                                       |                                                                                               |                                          |                                                                                     |                                           |
| I hereby claim the benefit ur<br>insofar as the subject matter of<br>manner provided by the first<br>information as defined in Ti<br>application and the national of                                  | of each of the<br>paragraph of<br>the 37, Code<br>PCT interna   | e claims of this applic<br>f Title 35, United Sta<br>of Federal Regulatio<br>ational filing date of the | ation is not disclosed<br>ates Code, Sec. 112, I<br>ons, 1.56(a) which oc<br>his application. | in the prio                              | or United States' applicated the duty to disclose tween the filing date of          | ation in the                              |
| Application Numb                                                                                                                                                                                      | er                                                              | Filing Date                                                                                             |                                                                                               | Status                                   |                                                                                     |                                           |
| 60/415,188                                                                                                                                                                                            |                                                                 | September 30, 2002                                                                                      |                                                                                               | Provisional                              |                                                                                     |                                           |
| 60/477,110                                                                                                                                                                                            |                                                                 | June 9, 2003                                                                                            |                                                                                               | Provisional                              |                                                                                     |                                           |
| First Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                                                                                      | Radnor, PA                                                      | Buckman, M.D.<br>N<br>Lane, Radnor, PA 190                                                              | Citizen<br>987-5207                                                                           | ship US                                  | 5                                                                                   |                                           |
| Second Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                                                                                     | Jay A. Leni<br>Laguna Bea<br>408 Panora                         |                                                                                                         | Citizen<br>ach, CA 92651                                                                      | ship US                                  | S                                                                                   |                                           |
| Third Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                                                                                      | Laguna Nig                                                      | Kolehmainen<br>guel, CA<br>Butte Circle, Laguna N                                                       | Citizen<br>Iiguel, CA 92677                                                                   | ship US                                  | 3                                                                                   |                                           |
| Fourth Inventor: Full Name:<br>Residence:<br>Post Office Address:                                                                                                                                     |                                                                 |                                                                                                         | Citizenship                                                                                   |                                          |                                                                                     |                                           |
| I hereby declare that all stater<br>belief are believed to be true;<br>the like so made are punishab<br>that such willful false stateme                                                               | and further t<br>le by fine or                                  | hat these statements with the imprisonment, or both                                                     | vere made with the kn<br>n, under Section 1001                                                | owledge th<br>of Title 18                | nat willful false stateme<br>B of the United States C                               | ents and                                  |
| Date: January 20, 2004                                                                                                                                                                                |                                                                 | First Inventor                                                                                          | Robert F. Buckma                                                                              | n, M.D.                                  |                                                                                     |                                           |
| Date: January 20, 2004                                                                                                                                                                                |                                                                 | Second Inventor                                                                                         | Jay A. Lenker, Pla                                                                            | D                                        |                                                                                     |                                           |
| Date: January 20, 2004                                                                                                                                                                                |                                                                 | Third Inventor                                                                                          | Donald J. Kolehm                                                                              | ainen                                    | σ'                                                                                  | _                                         |
| Date:                                                                                                                                                                                                 | ···                                                             | Fourth Inventor                                                                                         |                                                                                               |                                          |                                                                                     |                                           |



# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1 9(f) AND 1.27(c) - SMALL BUSINESS CONCERN

| the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| NAME OF CONCERN: Damage Control Surgical Technologies, Inc. ADDRESS OF CONCERN: 408 Panorama Drive, Laguna Beach, CA 92651                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, In that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both. |
| I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled Method and Apparatus For Rapid Deployment Chest Drainage invented by: Robert F. Buckman, M.D., Jay A. Lenker, Ph.D., and Donald J. Kolehmainen                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| and described in the specification filed herewith or the application serial no. 10/676,562                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| filed on September 30, 2003 or U.S. Patent No. , issued                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business-concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the Invention averring to their status as small entities. (37 CFR I 27).                                                                                                                                                                                                              |
| NAME OF CONCERN:  ADDRESS OF CONCERN:  Individual Small Business Concern Nonprofit Organization                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| NAME OF CONCERN:  ADDRESS OF CONCERN:  Individual Small Business Concern Nonprofit Organization                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |



#### **POWER OF ATTORNEY**

Damage Control Surgical Technologies, Inc. assignee(s) of the application for United States Letters Patent for Method and Apparatus For Rapid Deployment Chest Drainage invented by Robert F. Buckman, M.D., Jay A. Lenker, Ph.D., and Donald J. Kolehmainen filed herewith, or A having Serial No. 10/676,562 , filed September 30, 2003 a copy of the assignment of which is attached hereto, do(es) hereby appoint as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Practitioners at Customer Number 23371. Please send correspondence to: The above mentioned Customer Number. I, the undersigned, declare that I am the (an) assignee of the above-identified application or, if the assignee is a corporation, partnership or other association, I am authorized to make this appointment on behalf of the assignee and I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon. Assignee's Name: Damage Control Surgical Technologies, Inc. Assignee's Address: 408 Panorama Drive, Laguna Beach, CA 92651 Date: January 20, 2004 Signature: Declarant's Name: Title President

Declarant's Address: 408 Panorama Drive, Laguna Beach, CA 92651